

705/71509-2

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Hawkins
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mats LEIJON et al.

Serial No.: 09/194,560

Filed: March 29, 1999

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PATENT

Group: 2834

Examiner:



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OFFICE OF PETITIONS
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INFORMATION DISCLOSURE STATEMENT
SUBMITTED WITHOUT COPIES OF INFORMATION DISCLOSURE
STATEMENT CITATIONS PURSUANT TO DECISION ON PETITION
UNDER 37 C.F.R. 1.183 SEEKING WAIVER OF REQUIREMENTS
UNDER 37 C.F.R. 1.98

Washington, D.C.
April 28, 2000

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO Form-1449. Copies of the references were filed in the office of Examiner Gellner with a Petition dated September 27, 1999.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §§1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of

this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Pursuant to the Decision on Petition dated December 1, 1999, which was filed in U.S. Patent Application No. 09/147,325 (the holding application), the requirement for the submission of a copy of each Information Disclosure Statement citation is waived provided that the conditions set forth in paragraphs 1-8 (pages 8-10) of the Decision on Petition are met.

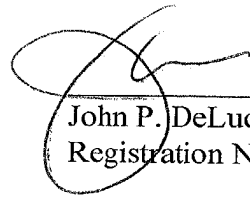
The conditions set forth in the Decision on Petition are believed to have been met as follows:

1. Three paper copies of each Information Disclosure Statement citation were supplied to the U.S. Patent and Trademark Office on September 29, 1999 with the filing of the Petition.
2. This application (the bulk filing application) for which the waiver is desired is related to the above-identified holding application, U.S. Patent Application No. 09/147,325.
3. The information herein has been cited in the above holding application.
4. A copy of the Decision on Petition granting the waiver is attached hereto.
5. At present, no explanatory information related to any particular citation has been submitted in the holding application except for translations of foreign language references, which were supplied to the office pursuant to paragraph 1 above.
6. As of the time of this filing, the office has not terminated the waiver grant, nor has the applicant terminated or withdrawn its assent to the waiver.
7. The holding application is co-pending herewith.
8. The paper copies of the references cited herein are believed to be contained in a series of official digests established by the Office which is noted in the Decision on Petition.

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If additional fees are required, please charge Deposit Account No. 23-0575.

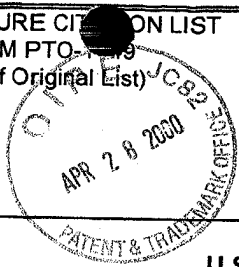
Respectfully submitted,



John P. DeLuca
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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO 1579
(Corrected Listing of Original List)



Docket Number:

Application Number

Applicant(s):

Filing Date:

Group Art Unit:

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US1304451	5/20/19	L. H. Burnham			
	2	US1418856	6/2/22	Robert B. Williamson			
	3	US1481585	1/22/24	James Robert Beard			
	4	US1728915	9/24/29	E. P. Blankenship et al			
	5	US1742985	1/7/30	L. H. Burnham			
	6	US1747507	2/18/30	Robert B. George			
	7	US1756672	4/29/30	John M. Barr			
	8	US1762775	6/10/30	Albert G. Ganz			
	9	US1781308	11/11/30	Mauritz Vos			
	10	US1861182	5/31/32	F. Hendey et al			
	11	US1974406	9/25/34	Vincent G. Apple et al			
	12	US2006170	6/25/35	Gustof A. Juhlin			
	13	US2206856	7/2/40	W. E. Shearer			
	14	US2217430	10/8/40	R. A. Baudry			
	15	US2241832	5/13/41	H.W. Wahlquist			
	16	US2251291	8/5/41	L. O. Reichelt			
	17	US2256897	9/23/41	W. F. Davidson et al			
	18	US2295415	9/8/42	G.R. Monroe			
	19	US2415652	2/11/47	R. B. Norton			
	20	US2424443	7/22/47	B. C. Evans			
	21	US2436306	2/17/48	J. S. Johnson			
	22	US2446999	8/17/48	G. Camilli			
	23	US2459322	1/18/49	G. T. Johnston			
	24	US2462651	2/22/49	H. W. Lord			
	25	US2498238	2/21/50	L. J. Berberich et al			
	26	US2721905	10/25/55	D. J. Monroe			
	27	US2780771	2/5/57	B. Lee			
	28	US2846599	8/5/58	H. H. McAdam			
	29	US2885581	5/5/59	P. T. Pileggi			
	30	US2943242	6/28/60	E. Schaschl et al			
	31	US2947957	8/2/60	J. C. Spindler			
	32	US2959699	11/8/60	J. W. Smith et al			
	33	US2962679	11/29/60	J. L. Stratton			
	34	US2975309	3/14/61	M. Seidner			
	35	US3098893	7/23/63	R. A. Pringle et al			
	36	US3130335	4/21/64	L. J. Rejda			
	37	US3143269	8/4/64	J. Van Eldik			
	38	US3157806	11/17/64	E. Wiedemann			
	39	US3158770	11/24/64	A. D. Coggeshall et al			
	40	US3268766	8/23/66	S. E. Amos			
	41	US3304599	2/21/67	R. W/ Nordin			
	42	US3354331	11/21/67	H. L. Broeker et al			
	43	US3365657	1/23/68	James Webb			
	44	US3372283	5/5/68	A. A. Jaecklin			

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ALTERNATE FORM PTO-1449
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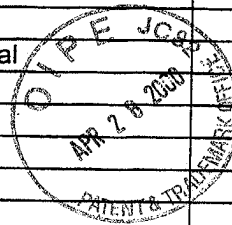
45	US3418530	11/24/68	W. H. Cheever			
46	US3435262	3/25/69	R. B. Bennett et al			
47	US3437858	4/8/69	R. B. White			
48	US3444407	5/13/69	E.S. Yates			
49	US3447002	5/27/69	C. Ronnevig			
50	US3484690	12/16/69	H. Wald			
51	US3560777	2/2/71	W. Moeller			
52	US3593123	7/13/71	A. C. Williamson			
53	US3631519	12/28/71	H. Salahshourian			
54	US3644662	2/22/72	H. Salahshourian			
55	US3651402	3/21/72	P. H. Leffmann			
56	US3670192	6/13/72	A. A. Andersson et al			
57	US3675056	7/4/72	H. G. Lenz			
58	US3684821	8/15/72	M. Miyauchi et al			
59	US3716652	2/13/73	G. E. Lusk et al			
60	US3716719	2/13/73	H. W. Angelery et al			
61	US3727085	4/10/73	P. B. Goetz et al			
62	US3740600	6/19/73	B. Turley			
63	US3746954	7/17/73	A. Myles set al			
64	US3758699	9/11/73	G. Lusk et al			
65	US3778891	12/18/73	R. Amasino et al			
66	US3781739	12/25/73	L. Meyer			
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69	US3809933	5/7/74	H. Sugawara et al			
70	US3881647	5/6/75	B. Wolfe			
71	US3884154	5/20/75	F. Marten			
72	US3891880	6/24/75	H. Britsch			
73	US3902000	8/26/75	E. Forsyth et al			
74	US3932779	1/13/76	A. Madsen			
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76	US3943392	3/9/76	J. Keuper et al			
77	US3947278	3/30/76	K. Youtsey			
78	US3965408	6/22/76	H. Higuchi et al			
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80	US3971543	7/27/76	W. Shanahan			
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82	US3995785	12/7/76	R. Arick et al			
83	US4001616	1/4/77	P. Lonseth et al			
84	US4008409	2/15/77	R. Rhudy et al			
85	US4031310	6/21/77	L. Jachimowicz			
86	US4039740	8/2/77	Z. Iwata			
87	US4041431	8/9/77	G. Enoksen			
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89	US4064419	12/20/77	R. Peterson			
90	US4084307	4/18/78	G. Schultz el al			
91	US4085347	4/18/78	K. Lichius			
92	US4088953	5/9/78	S. Sarian			
93	US4091138	5/23/78	Takagi et al			
94	US4091139	5/23/78	J. Quirk			
95	US4099227	7/4/78	J. Liptak			

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96	US4103075	7/25/78	E. Adam		
97	US4106069	8/8/78	J. Trautner et al		
98	US4107092	8/15/78	R. Carnahan et al		
99	US4109098	8/22/78	M. Olsson et al		
100	US4121148	10/17/78	H. Platzer		
101	US4134036	1/9/79	G. Curtiss		
102	US4134055	1/9/79	M. Akamatsu		
103	US4134146	1/9/79	E. Stetson		
104	US4149101	4/10/79	A. Lesokhin et al		
105	US4152615	5/1/79	R. Calfo et al		
106	US4160193	7/3/79	A. Richmond		
107	US4164672	8/14/79	C. Flick		
108	US4164772	8/14/79	N. Hingorani		
109	US4177397	12/4/79	John Lill		
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111	US4184186	1/15/80	P. Barkan		
112	US4200817	4/29/80	T. Bratoljic		
113	US4200818	4/29/80	C. Ruffing et al		
114	US4206434	6/3/80	A. Hase		
115	US4207427	6/10/80	G. Beretta et al		
116	US4207482	6/10/80	C. Neumeyer et al		
117	US4208597	6/17/80	A. Mulach et al		
118	US4229721	10/21/80	W. Koloczek et al		
119	US4238339	12/9/80	G. Khutoretsky et al		
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121	US4245182	1/13/81	H. Aotsu et al		
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123	US4255684	3/10/81	W. Mischler et al		
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125	US4262209	4/14/81	C. Berner		
126	US4274027	6/16/81	S. Higuchi et al		
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128	US4307311	12/22/81	A. Grozinger		
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130	US4308575	12/29/81	A. Mase		
131	US4310966	1/19/82	O. Brietenbach		
132	US4317001	2/23/82	D. Silver et al		
133	US4320645	3/23/82	L. Stanley		
134	US4321518	3/23/82	M. Akamatsu		
135	US4330726	5/18/82	D. Albright et al		
136	US4337922	7/6/82	M. Streiff et al		
137	US4341989	7/27/82	T. Sandberg et al		
138	US4347449	8/31/82	J. F. Beau		
139	US4347454	8/31/82	K. Gellert et al		
140	US4363612	10/12/82	R. Meyers		
141	US4357542	11/2/82	H. Kirschbaum		
142	US4360748	11/23/82	H-G Raschbichler et al		
143	US4367425	1/4/83	M. Mendelsohn et al		
144	US4368418	1/11/83	F. P. Demello et al		
145	US4369389	1/18/83	D. Lambrecht		
146	US4371745	2/1/83	M. Sakashita		

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147	US4387316	6/7/83	J. Katsekas			
148	US4403163	9/6/83	Rarmerding et al			
149	US4404486	9/13/83	T. Keim et al			
150	US4411710	10/25/83	M. Mochizuki et al			
151	US4421284	12/20/83	A. Pan			
152	US4425521	1/10/84	G. Rosenberry, Jr. et al			
153	US4426771	1/24/84	D. Wang et al			
154	US4429244	1/31/84	P. Nikiten et al			
155	US4431960	2/14/84	O. Zucker			
156	US4443725	4/17/84	S. Derderian et al			
157	US4470884	9/11/84	D. Carr			
158	US4473765	9/25/84	T. Butman, Jr. et al			
159	US4475075	10/2/84	R. Munn			
160	US4477690	10/16/84	P. Nikitin et al			
161	US4481438	11/6/84	T. Keim			
162	US4488079	12/11/84	G. Dailey et al			
163	US4503284	3/5/95	M. Minnick et al			
164	US4510077	4/9/85	R. Elton			
165	US4517471	5/14/85	K. Sachs			
166	US4523249	6/11/85	S. Arimoto			
167	US4538131	8/27/85	M. Baier et al			
168	US4546210	10/8/85	Y. Akiba et al			
169	US4551780	11/5/85	M. Canay			
170	US4557038	12/10/85	M. Wcislo et al			
171	US4560896	12/24/85	G. Vogt et al			
172	US4565929	1/21/86	J. Baskin et al			
173	US4588916	5/13/86	R. Lis			
174	US4590416	5/20/86	M. Porche et al			
175	US4594630	6/10/86	M. Rabinowitz et al			
176	US4607183	8/19/86	J. Rieber et al			
177	US4615109	10/7/86	M. Wcislo et al			
178	US4618795	10/21/86	G. Cooper et al			
179	US4619040	10/28/86	D. Wang et al			
180	US4633109	12/30/86	J. Feigel			
181	US4650924	3/17/87	J. Kauffman et al			
182	US4656379	4/7/87	F. McCarty			
183	US4677328	6/30/87	K. Kumakura			
184	US4687882	8/18/87	G. Stone et al			
185	US4692731	9/8/87	H. Osinga			
186	US4723104	2/22/88	F. Rohatyn			
187	US4737704	4/12/88	S. Kalinnikov et al			
188	US4745314	5/17/88	J. Nakano			
189	US4766365	8/23/88	L. Bolduc et al			
190	US4785138	11/15/88	O. Brienbach et al			
191	US4795933	1/3/89	K. Sakai			
192	US4827172	5/2/89	K. Kobayashi			
193	US4845308	7/4/89	E. Womack, Jr. et al			
194	US4847747	7/11/89	A. Abbondanti			
195	US4853565	8/1/89	R. Elton et al			
196	US4859810	8/22/89	R. Cloetens et al			
197	US4860430	8/29/89	H. Raschbichler et al			

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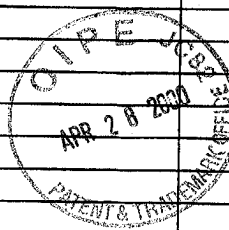
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(Corrected Listing of Original List)

198	US4864266	9/5/89	L. Feather et al			
199	US4883230	11/28/89	L. Lindstrom			
200	US4894284	1/16/90	S. Yamanouchi et al			
201	US4914386	4/3/90	S. Zocholl			
202	US4918347	4/17/90	Y. Takaba			
203	US4918835	4/24/90	H. Wcislo et al			
204	US4924342	5/8/90	R. Lee			
205	US4926079	5/15/90	P. Niemela et al			
206	US4942326	7/17/90	J. Butler, III et al			
207	US4949001	8/14/90	S. Campbell			
208	US4994952	2/19/91	D. Silva et al			
209	US4997995	3/5/91	M. Simmons et al			
210	US5012125	4/30/91	D. Conway			
211	US5036165	7/30/91	R. Elton et al			
212	US5036238	7/30/91	M. Tajima			
213	US5066881	11/19/91	R. Elton et al			
214	US5067046	11/19/91	R. Elton et al			
215	US5083360	1/28/92	M. Valencic et al			
216	US5086246	2/4/92	J. Dymond et al			
217	US5094703	3/10/92	M. Takaoka et al			
218	US5097241	3/17/92	E. Smith et al			
219	US5097591	3/24/92	M. Wcislo et al			
220	US5111095	5/5/92	J. Hendershot			
221	US5124607	6/23/92	J. Rieber et al			
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223	US5140290	8/18/92	H. Dersch			
224	US5153460	10/6/92	L. Bovino et al			
225	US5168662	12/8/92	K. Nakamura et al			
226	US5187428	2/16/93	R. Hutchison et al			
227	US5235488	8/10/93	S. Koch			
228	US5246783	9/21/93	L. Spenadel et al			
229	US5264778	11/23/93	D. Kimmel et al			
230	US5304883	4/19/93	J. Denk			
231	US5305961	4/26/93	A. Errard et al			
232	US5321308	6/14/93	A. Johncock			
233	US5323330	6/21/93	G. Asplund et al			
234	US5325008	6/28/94	J. Grant			
235	US5327637	7/12/94	O. Britenbach et al			
236	US5341281	8/23/94	G. Skibinski			
237	US5343139	8/30/94	L. Gyugyi et al			
238	US5355046	10/11/94	K. Weigelt			
239	US5365132	11/15/94	J. Hann et al			
240	US5387890	2/7/95	P. Estop et al			
241	US5397513	3/14/95	C. Steketee, Jr.			
242	US5400005	3/21/95	H. Bobry			
243	US5452170	9/19/95	S. Ohde et al			
244	US5468916	11/21/95	M. Litenas et al			
245	US5500632	3/19/96	J. Halser, III			
246	US5510942	4/23/96	L. Bock et al			
247	US5530307	6/25/96	G. Horst			
248	US5545853	8/13/96	N. Hildreth			



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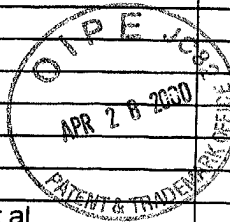
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(Corrected Listing of Original List)

	249	US5550410	8/27/96	C. Titus			
	250	US5583387	12/10/96	M. Takeuchi et al			
	251	US5587126	12/24/96	C. Steketee, Jr.			
	252	US5598137	1/28/97	F. Alber et al			
	253	US5607320	3/4/97	J. Wright			
	254	US5612510	3/18/97	N. Hildreth			
	255	US5663605	9/2/97	P. Evans et al			
	256	US5672926	9/30/97	J. Brandes et al			
	257	US5689223	11/18/97	A Demarmels et al			
	258	US5807447	9/15/98	I. Forrest			
	259	US681800	9/3/01	O. Lasche			
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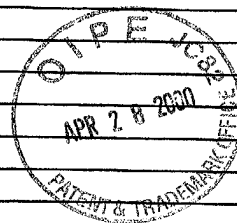
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	2	BE565063	2/23/57	Belgium		
	3	CH391071	4/30/65	Switzerland		
	4	CH534448	2/28/73	Switzerland		
	5	CH539328	7/4/73	Switzerland		
	6	CH657482	8/29/86	Switzerland		
	7	DD137164	8/15/79	Germany DDR		
	8	DD138840	11/21/79	Germany DDR		
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	10	DE1807391	5/27/70	Germany		
	11	DE2050674	5/19/71	Germany		
	12	DE2155371	5/17/73	Germany		
	13	DE2400698	7/10/75	Germany		
	14	DE2520511	11/18/76	Germany		
	15	DE2656389	6/15/78	Germany		
	16	DE2721905	11/23/78	Germany		
	17	DE277012	7/25/14	Germany		
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	25	DE2920478	12/4/80	Germany		
	26	DE2939004	4/9/81	Germany		
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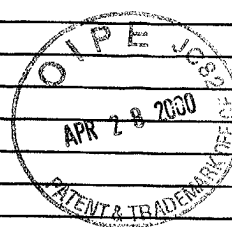
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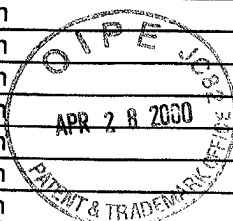
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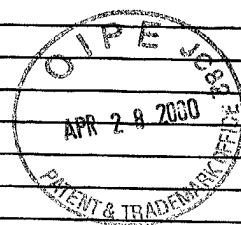


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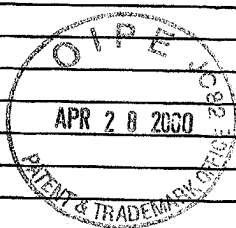
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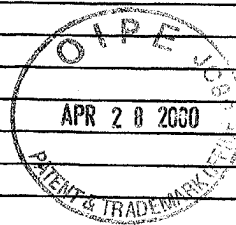


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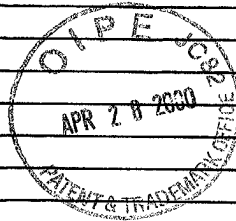
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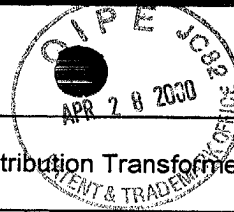
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1	OD001	Shipboard Electrical Insulation; G. L. Moses, 1951, pp2&3
2	OD002	ABB Elkratthandbok; ABB AB; 1988 ; pp274-276
3	OD003	Elkraft teknisk Handbok, 2 Elmaskiner; A. Alfredsson et al; 1988, pp 121-123
4	OD004	High Voltage Cables in a New Class of Generators Powerformer; M. Leijon et al; 6/14/99; pp1-8.
5	OD005	Ohne Tranformator direkt ins Netz; Owman et al, ABB, AB; 2/8/99; pp48-51
6	OD006	Submersible Motors and Wet-Rotor Motors for Centrifugal Pumps Submerged in the Fluid Handled; K.. Bienick, KSB; 2/25/88; pp9-17
7	OD007	High Voltage Generators; G. Beschastnov et al; 1977; Vol 48. No. 6 pp1-7
8	OD008	Eine neue Type von Unterwassermotoren; Electrotechnik und Maschinenbam, 49; 8/1931; pp2-3
9	OD009	Problems in design of the 110-500kV high-voltage generators; Nikiti et al; World Electrotechnical Congress; 6/21-27/77; Section 1. Paper #18
10	OD010	Manufacture and Testing of Roebel bars; P. Marti et al; 1960, Pub.86, Vol 8, pp 25-31
11	OD011	Hydroalternators of 110 to 220 kV Elektrotechn. Obz., Vol. 64, No. 3, ppl32-136 March 1975; A. Abramov

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	12	OD012	Design Concepts for an Amorphous Metal Distribution Transformer; E. Boyd et al; IEEE 11/84
	13	OD013	Neue Wege zum Bau zweipoliger Turbogeneratoren bis 2 GVA, 60kV Elektrotechnik und Maschinenbau Wien Janner 1972, Heft 1, Seite 1 -11; G. Aichholzer
	14	OD014	Optimizing designs of water-resistant magnet wire; V. Kuzenev et al; Elektrotehnika, Vol 59, No 12, pp35-40, 1988
	15	OD015	Zur Entwicklung der Tauchpumpenmotoren; A. Schanz; KSB, pp19-24
	16	OD016	Direct Generation of alternating current at high voltages; R. Parsons; IEEE Journal, Vol 67 #393, 1/15/29; pp1065-1080
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	19	OD019	Technik und Anwendung moderner Tauchpumpen; A. Heumann; 1987
	20	OD020	High capacity synchronous generator having no tooth stator; V.S. Kildishev et al; No.1, 1977 pp11-16.
	21	OD021	Der Asynchronmotor als Antrieb stopfbachsloser Pumpen; E. Picmaus; Elektrotechnik und Maschinenbau No. 78, pp153-155, 1961
	22	OD022	Low core loss rotating flux transformer; R. F. Krause, et al; American Institute Physics J.Appl.Phys Vol 64 #10 11/1988, pp5376-5378
	23	OD023	An EHV bulk Power transmission line Made with Low Loss XLPE Cable; Ichihara et al; 8/92; pp3-6
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	25	OD025	Power System Stability and Control; P. Kundur, 1994; pp23-25; page 767
	26	OD026	Six phase Synchronous Machine with AC and DC Stator Connections, Part II: Harmonic Studies and a proposed Uninterruptible Power Supply Scheme; R. Schiferl et al.; 8/1983 pp 2694-2701
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	28	OD028	Reactive Power Compensation; T. Petersson; 1993; pp 1-23
	29	OD030	Permanent Magnet Machines; K. Binns; 1987; pp 9-1 through 9-26
	30	OD031	Hochspannungsanlagen for Wechselstrom; 97. Hochspannungsaufgaben an Generatoren und Motoren; Roth et al; 1938; pp452-455
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	32	OD033	Neue Lbsungswege zum Entwurf grosser Turbogeneratoren bis 2GVA, 60kV; G. Aicholzer; 9/1974, pp249-255
	33	OD034	Advanced Turbine-generators- an assessment; A. Appleton, et al; International Conf. Proceedings, Lg HV Elec. Sys. Paris, FR, Aug-Sept/1976, Vol I, Section 11-02, pg1-9
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	35	OD036	Toroidal winding geometry for high voltage superconducting alternators; J. Kirtley et al; MIT - Elec. Power Sys. Engrg. Lab for IEEE PES; 2/1974
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	39	OD040	Development of extruded polymer insulated superconducting cable; 1/1992
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Examiner

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(Corrected Listing of Original List)

	41	OD042	Cloth-transformer with divided windings and tension annealed amorphous wire; T. Yamamoto et al; IEEE Translation Journal on Magnetics in Japan Vol 4, No. 9 Sept. 1989
	42	OD043	A study of equipment sizes and constraints for a unified power flow controller; J Bian et al; IEEE 1996
Subtotal	43		
GRAND TOTAL	671		



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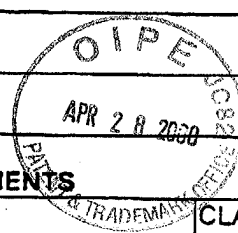
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Applicant(s):

Filing Date:

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US 4,292,558	9/29/1981	Carl Flick et al			
	2	US 4,656,316	4/7/1987	Hans-Juergen Meltsch			
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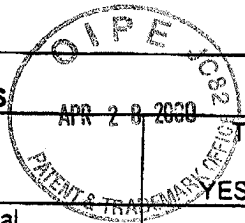
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Issue2: dated 02/21/00



FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
					YES NO
1		GB 1,319,257	6/6/1973	Anders R. Andersson et al	
2		GB 1,322,433	7/4/1973	Siemens Akstengesellschaft	
3		GB 2,070,341	9/3/1981	Hans-Georg Raschbichler et al	
4		WO 98/20598	5/14/1998	Jan-Anders Karlfeldtsgatan et al	
5		WO 98/20602	5/14/1998	Soren Berggren	
6		WO 98/34239	8/6/1998	Gunnar Steneorpsatan et al	
7		WO 99/28922	6/10/1999	Thorsten Schutte et al	
8		WO 99/29005	6/10/1999	Mats Leijon et al	
9		WO 99/29023	6/10/1999	Peter Carstensen et al	
10		WO 99/29025	6/10/1999	Mats Leijon et al	
11		EP 0056580 A1	7/28/1982	Jacobus F.H. Van der Vegt	
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